



FORM HDP-1449 (Based on Form PTO-1449)

**PATENT AND TRADEMARK OFFICE
INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

Sheet 1 of 3

ATTORNEY DOCKET NO.

SERIAL NO.

4857-000001/CPF

10/037,974

APPLICANT

John C. K. Hui

FILING DATE

GROUP

11-09-01

Unknown

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.	<i>W</i>	2,230,068	01-28-1941	Roensch		
2.		2,249,579	07-15-1941	Rea		
3.		2,361,242	10-24-1944	Rosett		
4.		2,528,843	11-07-1950	Poor		
5.		2,533,504	12-12-1950	Poor		
6.		2,543,284	02-27-1951	Gleason		
7.		2,674,231	04-06-1954	Erickson		
8.		2,694,395	11-16-1954	Brown		
9.		2,747,570	05-29-1956	Jobst		
10.		3,063,444	11-13-1962	Jobst		
11.		3,094,116	06-18-1963	Logan et al.		
12.		3,179,106	04-20-1965	Meredith		
13.		3,303,841	02-14-1967	Dennis et al.		
14.		3,403,673	10-01-1968	MacLeod et al.		
15.		3,483,862	12-16-1969	Takeuchi		
16.		3,548,809	12-22-1970	Conti et al.		
17.		3,654,919	04-11-1972	Birtwell et al.		
18.		3,659,593	05-02-1972	Vail		
19.		3,712,290	01-23-1973	Irnich et al.		
20.		3,734,087	05-22-1973	Sauer et al.		
21.	<i>W</i>	3,783,859	01-08-1974	Sauer et al.		

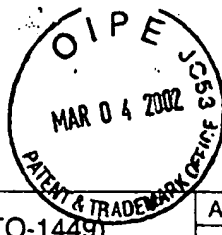
Examiner:

K. TROON

Date Considered:

6/20/05

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Sheet 2 of 3

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4857-000001/CPF	10/037,974
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John C. K. Hui	
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11-09-01	Unknown

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
22.	W	3,786,802	01-22-1974	Hagopian et al.		
23.		3,795,242	03-05-1974	Lerch et al.		
24.		3,835,845	09-17-1974	Maher		
25.		3,865,103	02-11-1975	Folman		
26.		3,866,604	02-18-1975	Curless et al.		
27.		3,878,839	04-22-1975	Norton et al.		
28.		3,892,229	07-01-1975	Taylor et al.		
29.		3,901,221	08-26-1975	Nicholson et al.		
30.		3,942,518	03-09-1976	Tenteris et al.		
31.		3,970,076	07-20-1976	Hepp et al.		
32.		3,976,056	08-24-1976	Brawn		
33.		4,013,069	03-22-1977	Hasty		
34.		4,030,488	06-21-1977	Hasty		
35.		4,080,958	03-28-1978	Bregman et al.		
36.		4,091,804	05-30-1978	Hasty		
37.		4,253,449	03-03-1981	Arkans et al.		
38.		4,388,919	06-21-1983	Benjamin, Jr. et al.		
39.		4,454,494	06-12-1984	Williams et al.		
40.		4,753,226	06-28-1988	Zheng et al.		
41.	W	5,245,990	09-21-1993	Bertinin		

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FORM HDP-1449 (Based on Form PTO 449)

PATENT AND TRADEMARK OFFICE
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Sheet 3 of 3

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4857-000001/CPF	10/037,974
APPLICANT	
John C. K. Hui	
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11-09-01	Unknown

U.S. PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
42.	W	5,429,584	07-04-1995	Chiu et al.		
43.		5,443,440	08-22-1995	Tumey et al.		
44.		5,487,722	01-30-1996	Weaver et al.		
45.		5,571,075	11-05-1996	Bullard et al.		
46.		WO 99/08644	02-25-1999	Shabty et al.		
47.		5,891,012	04-06-1999	Downey et al.		
48.		6,132,363	10-17-2000	Freed et al.		
49.	W	6,144,880	11-07-2000	Ding et al.		

FOREIGN PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	No
1.							

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Ref. Desig.	Examiner's Initials	
1.		

Examiner:

E. TRVOND

Date Considered:

6/28/05

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FORM PDP-1449 (Based on Form PTO-1449)

PATENT AND TRADEMARK OFFICE
INFORMATION DISCLOSURE CITATION

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Sheet 1 of 3

ATTORNEY DOCKET NO.

4857-000001/CPF

SERIAL NO.

10/037,974

APPLICANT

John C. K. Hui

FILING DATE

November 9, 2001

GROUP

3731

U.S. PATENT DOCUMENTS

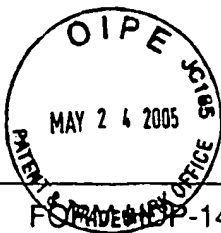
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1.	W	3,859,989	1/14/1975	Spielberg		
2.		3,862,629	1/28/1975	Rotta		
3.		3,961,625	6/8/1976	Dillon		
4.		4,077,402	3/7/1978	Benjamin, Jr. et al.		
5.		4,135,496	1/23/1979	Chazov et al.		
6.		4,269,175	5/26/1981	Dillon		
7.		4,343,302	8/10/1982	Dillon		
8.		4,590,925	5/27/1986	Dillon		
9.		4,738,249	4/19/1988	Linman et al.		
10.		4,971,042	11/20/1990	Lerman		
11.		5,000,164	3/19/1991	Cooper		
12.		5,090,417	2/25/1992	Mollan et al.		
13.		5,095,912	3/17/1992	Tomita		
14.		5,490,820	2/13/1996	Schock et al.		
15.		5,514,079	5/7/1996	Dillon		
16.		5,891,062	4/6/1999	Schock et al.		
17.		5,997,540	12/7/1999	Zheng et al.		
18.		6,120,431	9/19/2000	Magovern et al.		
19.	W	6,161,038	12/12/2000	Schookin et al.		

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Date Considered:

6/29/05

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FOIPA-PTO-1449 (Based on Form PTO-1449)

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John C. K. Hui	
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November 9, 2001	3731

FOREIGN PATENT DOCUMENTS

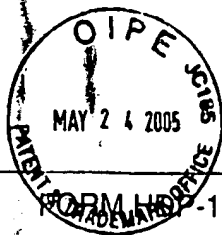
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	No
1.	h	1562252	2/24/1969	France			X
2.		0203310	3/27/1986	EPO			
3.		1 078 649	2/28/2001	EPO			

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Ref. Desig.	Examiner's Initials	
1.	h	E.S. Bucherl et al., Counterpulsation: Foundation and Future, with a Tribute to William Clifford Birtwell (1916-1978), pp. 20-23.
2.		W. Birtwell et al., Transactions - American Society for Artificial Internal Organs, Vol. XI, 1965, pp. 43-51.
3.		Lawrence S. Cohen, MD, FACC, et al., Sequenced External Counterpulsation and Intraaortic Balloon Pumping in Cardiogenic Shock, October 1973, The American Journal of Cardiology, Vol. 32, pp. 656-661.
4.		W. C. Birtwell et al., The Present Status of External Counterpulsation, 1979, pp. 71-78.
5.		Juan Lin et al., An Analytical Approach to the Determination of Optimal Phasing for External Counterpulsation, Journal of Bioengineering Vol. 2, 1978, pp. 167-175.
6.		Zhen-sheng Zheng et al., Sequential External Counterpulsation (SECP) in China, Transactions of the American Society Artificial Internal Organs, 1983; 29:599-603.
7.		William E. Lawson, MD et al., Enhanced External Counterpulsation for Chronic Myocardial Ischemia, The Journal of Critical Illness, Vol. 15, No. 11, November 2000.
8.		William E. Lawson et al., Treatment Benefit in the Enhanced External Counterpulsation Consortium, General Cardiology, Cardiology 2000; 94:31-35.
9.		The Journal of Heart Disease, Abstracts, May 1999, Vol. 1, Number 1, 4 pages.
10.		Supplement to Journal of the American College of Cardiology, 49th Annual Scientific Session, February 2000, 2 pp.
11.		Program & Abstracts, The Endocrine Society's 82nd Annual Meeting, June 21-24, 2000, 2 pp.

Examiner: *K. T. Reed*Date Considered: *6/20/05*

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FOREIGN PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation	
						Yes	No
12.	W	Jay N. Cohn Supplement to Journal of Cardiac Failure, Vol. 6, Number 3, September 2000, 4 pp.					
13.		Abstracts from Scientific Sessions 2000, Supplement to Journal of the American Heart Association, November 12-15, 2000, 2 pp.					
14.		British Cardiac Society Annual Conference, May 2001, Vol. 85, Supplement 1, 3 pp.					
15.		Hisashi Urano, MD et al., Enhanced External Counterpulsation Improves Exercise Tolerance, Journal of the American College of Cardiology, January 2001, Vol. 37, Number 1, pp. 93-97.					
16.		William E. Lawson, MD et al., Enhanced External Counterpulsation for Chronic Myocardial Ischemia, Critical Procedures: Number 138, The Journal of Critical Illness, Vol. 15, Issue 11, November 2000, 8 pp.					
17.	W	Ozlem Soran, MD et al., Enhanced External Counterpulsation in the Management of Patients with Cardiovascular Disease, Clin. Cardiol. 22, 173-178 (1999).					

Examiner:

R. TP 0003

Date Considered:

6/29/05

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